

**Bursa Pengetahuan Kawasan Timur Indonesia  
(BaKTI)**

**Indonesia Inclusive Livelihoods for Poor Rural  
Communities in Eastern Indonesia Project  
(P174902)**

**Draft**  
**ENVIRONMENTAL and SOCIAL  
COMMITMENT PLAN (ESCP)**

**September 2021**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. **Bursa Pengetahuan Kawasan Indonesia Timur (BaKTI)** will implement the **Indonesia Inclusive Livelihoods for Poor Rural Communities in Eastern Indonesia Project** (the **Project**). The *International Bank for Reconstruction and Development* (hereinafter the *Bank*) has agreed to provide financing for the Project.
2. **BaKTI** will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. **BaKTI** will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as such as Exclusion List, Environmental and Social Screening Checklist (ESSC), Labor Management Procedures (LMP), and Stakeholder Engagement Plans (SEP), and the timelines specified in those E&S documents.
4. **BaKTI** is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the *Bank* by **BaKTI** as required by the ESCP and the conditions of the legal agreement, and the *Bank* will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the *Bank* and **BaKTI**, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, **BaKTI** will agree to the changes with the *Bank* and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the *Bank* and the **BaKTI**. The **BaKTI** will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the **BaKTI** shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include [*specify risks and impacts that are relevant to the Project, such as environmental, health, and safety impacts, labor influx, gender-based violence*].

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).</p>	<p><i>Six-monthly throughout Project implementation, and will be included in the project progress report.</i></p>	BaKTI
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the project workers and community workers including any risk in the context of Covid-19 pandemic, OHS incidents, traffic incidents of BaKTI staff and project personnel comprised of specialists/ coordinators/officers, trainers, and facilitators.</p> <p>Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p><i>Notify the Bank within 24 hours after learning of the incident or accident. And an incident report shall be provided within 2 weeks upon occurrence of the incident.</i></p>	BaKTI

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>		
<p>1.1 <b>ORGANIZATIONAL STRUCTURE</b></p> <p>Prepare Terms of Reference (TOR) and appoint a dedicated E&amp;S focal point to be responsible for preparing, adopting, and implementing the specified environmental and social documents/instruments and management of E&amp;S risks acceptable to the Bank. TOR for the E&amp;S Focal Point will be cleared by the Bank. The E&amp;S focal point function may be merged with another function in the project team provided that the scope and workload is manageable for that function to operate as intended.</p>	<p><i>TOR and appointment of E&amp;S Focal Point is 60 days after grant signing and before project implementation</i></p> <p><i>The assigned E&amp;S focal point resume the roles and maintained throughout the project.</i></p>	<p>BaKTI</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.2	<p><b>ASSESSMENT, MANAGEMENT TOOLS AND INSTRUMENTS</b></p> <p>Prepare, adopt, and implement a Project Implementation Manual (PIM) in a manner acceptable to the Bank. The PIM will include information, among others:</p> <ul style="list-style-type: none"> <li>- Project’s exclusion list to minimize project impacts by screening activities that may lead to significant adverse environmental and social impacts.</li> <li>- Environmental and Social Screening Checklist (ESSC) to provide guidance in identifying potential environmental and social risks and impacts from livelihood activities and the potential downstream E&amp;S impacts from the village planning and relevant mitigation measures.</li> <li>- Labour management procedures</li> <li>- Stakeholder Engagement Plan</li> <li>- Grievance Redress Mechanism</li> <li>- Health protocols to protect and avoid transmission and infection of COVID-19, including guidelines to conduct meetings and emphasizing requirement for small and restricted assemblies under COVID-19 constraints, including requirement to apply strict health protocols such as wearing of appropriate PPE, social and physical distancing measures.</li> </ul> <p>Labor management procedures of direct workers should be developed in the 1<sup>st</sup> version of PIM before the recruitment of PIU staff/ consultants, but all others can be developed/ added to the 2<sup>nd</sup> PIM before project activities start.</p> <p>PIM can be further revised when required. Any revision of PIM should obtain clearance from the Bank, and the revised PIM will be disclosed.</p>	<ul style="list-style-type: none"> <li>- <i>1<sup>st</sup> version of PIM (including LMP): 30 days after grant signing and before recruitment of project workers</i></li> <li>- <i>2<sup>nd</sup> version of PIM (including Exclusion list, Screening Checklist, ESSC, SEP, GRM): 60 days after grant signing &amp; before participatory mapping</i></li> <li>- <i>PIM versions maintained throughout the project.</i></li> </ul>	BaKTI

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Update, adopt, and implement the Labor Management Procedures (LMP) which provides details on processes and management procedures on the employment of Project Workers in line with ESS2 requirements.</p> <p>Final LMP that is included in PIM, should be cleared by the Bank prior to the recruitment of project personnel.</p>	<p><i>Final LMP completed 30 days after grant signing and before recruitment of project personnel, and maintained throughout project.</i></p>	BaKTI
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>Establish, maintain, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2. Grievance mechanism included in LMP as part of PIM.</p>	<p><i>Timeline as part of final LMP: 30 days after grant signing and before recruitment of project personnel and maintained throughout the project.</i></p>	BaKTI
2.3	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</b></p> <p>Prepare, adopt, and implement occupational, health and safety (OHS) measures specified in the LMP as part of PIM. OHS measures included in LMP as part of PIM.</p>	<p><i>Timeline as part of final LMP: 30 days after grant signing and before recruitment of project personnel and maintained throughout the project.</i></p>	BaKTI

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>		
<p>3.1 <b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT:</b></p> <p>Prepare, adopt, and implement an exclusion list and E&amp;S Screening Checklist to be included as part of the PIM and Project Facilitation Manual. The exclusion list will screen out activities with significant pollution risks, while E&amp;S checklist will identify resource efficiency issues and potential pollution sources and assign mitigation measures for selected livelihood initiatives and development of VLPs.</p> <p>Exclusion list and E&amp;S Screening Checklist are prepared before Bank’s appraisal of the Project, to be included in PIM after the project approval and maintained throughout project implementation</p>	<p><i>Timeline as part of 2<sup>nd</sup> PIM: 60 days after grant signing &amp; before participatory mapping and maintained throughout the project.</i></p>	
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>		
<p>4.1 <b>COMMUNITY HEALTH AND SAFETY:</b></p> <p>Prepare, adopt, and implement measures and actions to assess and manage specific risks and impacts to the community arising from Project activities, including risks of COVID-19 infection and transmission, and risks of GBV/SEA/SH/VAC, and include these measures in the PIM, to be prepared in a manner acceptable to the Bank.</p>	<p><i>Timeline as part of final LMP: 30 days after grant signing and before recruitment of project personnel and maintained throughout the project.</i></p>	BaKTI

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<p><b>BIODIVERSITY RISKS AND IMPACTS:</b></p> <p>Prepare, adopt, and implement an exclusion list and E&amp;S Screening Checklist to be included as part of the PIM and Project Facilitation Manual. The exclusion list will screen out activities with significant risks and impacts to biodiversity, while E&amp;S checklist will identify potential impacts to habitats and/or species of concern and assign mitigation measures for selected livelihood initiatives and development of VLPs.</p> <p>Exclusion list and E&amp;S Screening Checklist are prepared before Bank's appraisal of the Project, to be included in PIM.</p>	<p><i>Timeline as part of 2<sup>nd</sup> PIM: 60 days after grant signing &amp; before participatory mapping and maintained throughout the project.</i></p>	BaKTI
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	<p><b>INCLUSIVE AND MEANINGFUL ENGAGEMENT:</b></p> <p>The Stakeholder Engagement Plan (SEP) will include procedures for inclusive and meaningful consultations with Indigenous Peoples or Customary Community, while relevant. The engagement with IPs will involve culturally appropriate methods emphasizing open, transparent, and inclusive participation during participatory planning and community livelihood initiatives implementation.</p> <p>Feedback and grievance mechanism for Indigenous Peoples to be included in SEP, which is culturally appropriate and accessible to project affected IP.</p>	<p><i>Timeline as part of 2<sup>nd</sup> PIM: 60 days after grant signing &amp; before participatory mapping and maintained throughout the project.</i></p>	BaKTI
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b></p> <p>Prepare, adopt, and implement a Stakeholder Engagement Plan (SEP).</p> <p>The SEP shall adopt the following stakeholder engagement principles</p> <ul style="list-style-type: none"> <li>• identification and analysis of project stakeholders who will be informed of, consulted with and involved in the implementation of project activities.</li> <li>• approach to meaningful engagement that focus on consultations, collaboration and empowerment of stakeholders, and two-way communication.</li> <li>• information disclosure process, grievance redress mechanism, and guidance on conducting meeting with communities with different language(s) and meetings under Covid-19 constraints.</li> <li>• include Covid-19 infection prevention and control measures and safeguarding policy and code of conduct on sexual harassment, exploitation and abuse and child abuse in implementing stakeholder engagement activities.</li> <li>• include measures and procedures for inclusive and meaningful consultations with Indigenous Peoples or Customary Community, when they are present in sub-project areas. The engagement with IPs will involve culturally appropriate methods emphasizing open, transparent, and inclusive participation during participatory planning and community livelihood initiatives implementation. Feedback and grievance mechanism for Indigenous Peoples to be included in SEP, which is culturally appropriate and accessible to project affected IP.</li> </ul> <p>The SEP will be prepared prior to project implementation and integrated into the Project Implementation Manual (PIM), disclosed as a stand-alone document and used to monitor the effectiveness of project participatory planning activities, promote inclusive participation, transparency, and equitable access to project benefits.</p>	<p><i>Timeline as part of 2<sup>nd</sup> PIM: 60 days after grant signing &amp; before participatory mapping.</i></p> <p><i>SEP maintained throughout Project implementation. SEP implementation reports will be integrated in the regular reporting.</i></p>	BaKTI

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
10.2	<p><b>PROJECT FEEDBACK AND GRIEVANCE MECHANISM:</b></p> <p>Prepare, adopt, maintain and operate a feedback and grievance mechanism, as described in the SEP.</p> <p>The grievance mechanism is expected to address concerns promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all project-affected parties, at no cost and with-out retribution. The mechanism, process or procedure will not prevent access to judicial or administrative remedies. Handling of grievances will be done in a culturally appropriate manner and be discreet, objective, sensitive and responsive to the needs and concerns of the project-affected parties. The mechanism will also allow for anonymous complaints to be raised and addressed.</p> <p>GRM will include several channels that may ensure accessibility for all with clear procedures and timeframes for processing and investigating grievances.</p> <p>The GRM in the SEP will be prepared prior to project implementation and integrated into the Project Implementation Manual (PIM). SEP will be disclosed as a stand-alone document.</p>	<p><i>Timeline as part of 2<sup>nd</sup> PIM: 60 days after grant signing &amp; before participatory mapping.</i></p> <p><i>SEP maintained throughout Project implementation. SEP implementation reports will be integrated in the regular reporting.</i></p>	BaKTI
<b>CAPACITY SUPPORT (TRAINING)</b>			
CS1	<p>Training for the E&amp;S focal point and facilitators in applying the E&amp;S instruments (exclusion list, environmental and social screening checklist, LMP, SEP and GRM) including the relevant mitigation measures and code of practices in project activities. Training is included in project personnel induction training, and refresher training will be provided throughout the project implementation.</p>	<p><i>Timeline:</i></p> <p><i>1<sup>st</sup> training: 60 days after grant signing</i></p> <p><i>Refresher training: once a year and maintained throughout the project.</i></p>	BaKTI
CS2	<p>Specific training for Project workers on occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations.</p>	<p><i>Timeline:</i></p> <p><i>1<sup>st</sup> training: 60 days after grant signing</i></p> <p><i>Refresher training: once a year and maintained throughout the project.</i></p>	BaKTI

